

## VALIDATION OF WINROP ALGORITHM AS A SCREENING TOOL FOR RETINOPATHY OF PREMATURITY IN A NORTHERN SPANISH COHORT OF PRETERM INFANTS

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### Abstract

**Introduction:** WINROP (Weight, Insulin-like growth factor 1, Neonatal Retinopathy of Prematurity) is a computer-based ROP risk which correlate postnatal weight gain with the developed of treatment-requiring ROP. The purpose of this study was to evaluate the ability of the WINROP algorithm to detect severe (Type 1 or Type 2) ROP in a Spanish cohort of infants.

**Methods:** Birth weight, gestational age, and weekly weight measurements of preterm infants (>23 and <32 weeks gestation) born between 2015 and 2017 were retrospectively collected and entered in WINROP algorithm. Infants were classified according alarm activation and compared with ROP screening outcomes. Sensitivity, specificity, and predictive values were calculated.

**Result:** A total of 109 infants were entered into the analysis. The mean gestational age was  $29.37 \pm 2.26$  weeks and mean birth weight was  $1178 \pm 320$  g. Alarm occurred in 47.7% (52/109) of neonates, with a mean time from birth to alarm of  $1.9 \pm 1.4$  weeks. WINROP had a sensitivity of 100% (CI 95%, 59-100), a specificity of 55.9% (CI 95%, 45.7-65.7), a positive predictive value of 13.5% (CI 95%, 11.1-16.2) and a negative predictive value of 100% (CI 95%, 93.7-100) for predicting severe ROP.

**Conclusion:** The WINROP algorithm has proven to be a useful tool in the detection of severe ROP in our cohort. Nevertheless, in extremely preterm infants (GA <28 weeks) the results should be taken with caution and an optimization of WINROP can be necessary to improve its utility in other populations.

**Keywords:** retinopathy, prematurity, WINROP, weight gain, screening tool

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### INTRODUCTION

Retinopathy of prematurity (ROP) is a potentially blinding vasoproliferative disorder affecting premature infants.<sup>1</sup> Low gestational age (GA), low birth weight (BW), and oxygen supplementation are the main risk factors for developing the disease.<sup>2</sup> High-quality health care and effective screening program are essential for reducing the incidence of ROP and its visual complications.<sup>3</sup> The goal of screening program is to detect preterm infants with treatment-demanding ROP among all at-risk infants.<sup>4</sup> With current screening criteria based on GA and BW, an excessive number of eye examinations, which can be stressful for preterm infants, are performed.<sup>5</sup> Less than 10% of screened infants require treatment.<sup>4</sup>

Previous studies have demonstrated links between low insulin-growth factor 1 (IGF-1) levels in premature infants, very-low-birth-weight, poor postnatal weight and development of treatment-demanding ROP.<sup>6,7,8</sup> The Weight, Insulin-like growth factor 1, Neonatal, Retinopathy Of Prematurity (WINROP) algorithm was developed in Sweden, using weekly weight measurements and serum IGF-1 levels to predict the risk of developing severe ROP.<sup>9</sup> The algorithm was later modified to use only weekly weight gain.<sup>10</sup>

Several studies have evaluated the efficacy of WINROP algorithm with varying results.<sup>10,11,12,13</sup> The aim of this study is to validate the WINROP in a northern Spanish cohort of preterm infants.

## METHODS

A retrospective evaluation of the WINROP algorithm was performed using data from preterm infants undergoing ROP screening at the Marques de Valdecilla University Hospital, Santander, Spain, between 2015 and 2017. The study was executed in compliance with the tenets of the Declaration of Helsinki and ethical approval was taken from hospital Ethical committee.

According the WINROP requirements, infants with a GA >23 and <32 weeks at birth, weekly weight measurements, and physiological weight gain of <450 g/week were included in this study. Patients with weight gain from non-physiological causes, such as hydrocephalus, excessive edema, or ascites; were excluded. Gestational age was calculated using last menstrual period and supported by ultrasound biometric measurements in the first trimester. Screening criteria used were BW ≤1500 g, GA ≤32 weeks and/or unstable neonatal clinical course. ROP examinations were started at 4 weeks of birth, by an experienced specialist ophthalmologist. The follow-up plan was established with an interval of 1 to 3 weeks proposed by the ophthalmologist according

with fundusoscopic findings. The retinopathy was classified according to the International Classification of ROP (ICROP) and the International Classification of the Early Treatment for Retinopathy of Prematurity (ETROP).<sup>14,15</sup> Severe ROP was defined as Type 1 (stage 1 or 2 in zone I with plus disease, stage 3 in zone I with or without plus disease, or stage 2 or 3 in zone II with plus disease) or Type 2 (stage 1 or 2 in zone I without disease plus or stage 3 in zone II). The rest of stages were included in low-grade ROP.

GA, BW, and weekly weights were entered into the WINROP website (<https://www.winrop.com/>) until alarm was signaled or a postmenstrual age of 36 weeks. Infants were categorized as high risk and low risk to develop ROP requiring treatment (Type 1 ROP) depending on alarm activation.

Quantitative variables were presented as mean ± SD, and qualitative variables as absolute numbers and percentages. The Kolmogorov-Smirnov test was used to analyse the distribution of variables. Comparisons of GA and BW were made considering ROP categories (type 1, type 2, low-grade and no ROP). Kruskal-Wallis and post-hoc tests were used to analyse non-normally distributed variables. A value of  $p < 0.05$  was considered statistically significant. Sensitivity, specificity, positive and negative predictive values of the algorithm were calculated. 95% confidence intervals were calculated using exact Clopper-Pearson method. Data were analyzed using SAS software version 9.3 (SAS Institute Inc., Cary, NC).

## RESULTS

During study period, 123 infants with a GA < 32 weeks were screened for ROP. Overall, 12 infants were excluded for the following reasons: 5 died before the final ROP status, 7 had incomplete body weight data and 2 developed hydrocephalus. A total of 109 met the requirements of WINROP and were included in our analytic database.

The mean GA at birth was  $29.37 \pm 2.26$  (range, 25-31.9) weeks and the mean BW was  $1178 \pm 320$  (range, 600-1940) g. Statistically significant lower mean GA and BW were observed in more severe type of ROP (Table 1).

Table 1. Birth characteristics and ROP categories

	Type 1 ROP	Type 2 ROP	Low-grade ROP	No ROP	All infants	p-value
n (%)	3 (2.7)	4 (3.7)	24 (22)	78 (71.6)	109 (100)	
GA (weeks), mean $\pm$ SD (range)	25.3 $\pm$ 0.6 (25-26)	27.3 $\pm$ 1.0 (26-28)	27.9 $\pm$ 2.2 (25-32)	30.1 $\pm$ 1.9 (26-32)	29.4 $\pm$ 2.3 (25-32)	< 0.001*
BW (g), mean $\pm$ SD (range)	675 $\pm$ 87 (600-750)	910 $\pm$ 226 (710-1005)	950 $\pm$ 233 (680-1620)	1278 $\pm$ 291 (680-1940)	1175 $\pm$ 319 (600-1940)	< 0.001*

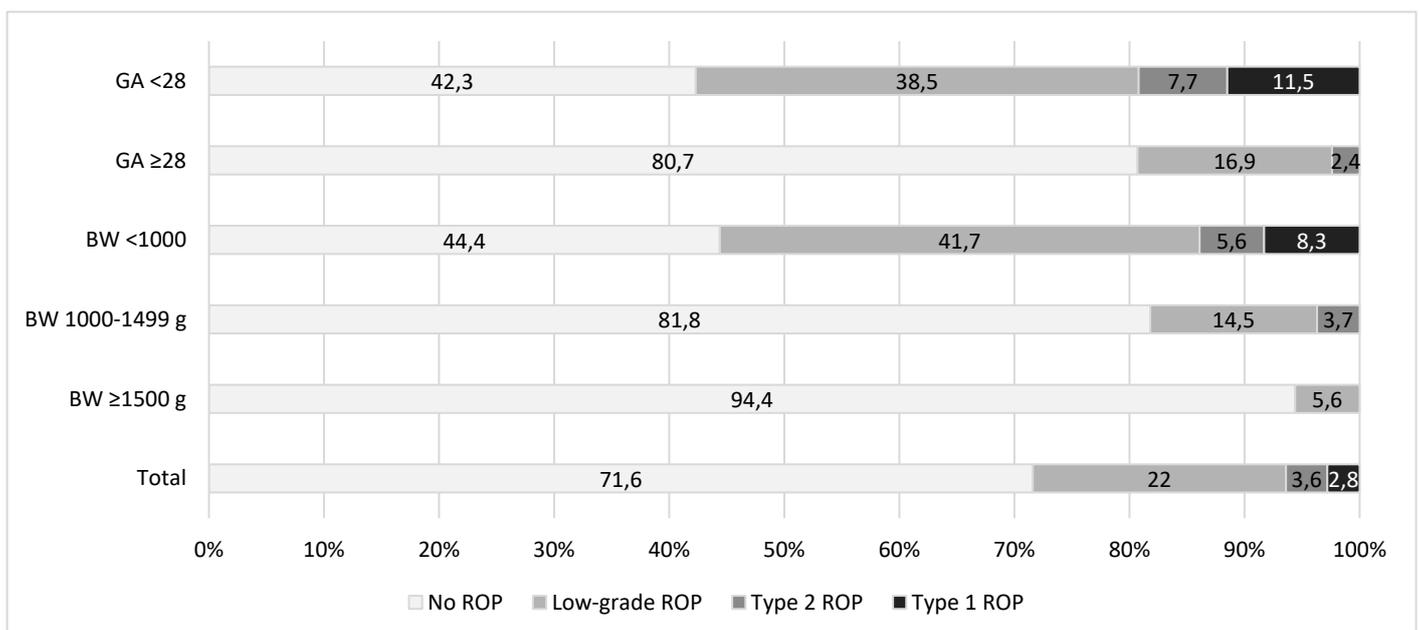
BW: Birth weight, GA: Gestational age, ROP: Retinopathy of prematurity

\* Kruskal-Wallis test

No grade of ROP developed in 78 (71.6%) of the infants. Of the 31 (28.4%) patients who developed ROP, 3 (2.7%) had type 1 ROP, 4 (3.7%) had type 2 ROP, and 24 (22%) had low-grade ROP (Table 1). No severe ROP was found among the infants with GA at

birth  $\geq 29$  weeks and BW  $\geq 1500$  g. In contrast, 19.2% of extremely preterm infants (GA < 28 weeks), 13.9% of extremely low birth weight (ELBW) infants (BW < 1000 g), and 3.6% of low birth weight (LBW) infants (BW 1000-1499 g) developed severe ROP (Figure 1).

Figure 1. Distribution of infants in different BW and GA subgroups according to the presence and type of ROP



All 3 type 1 ROP infants received intravitreal bevacizumab (Avastin) and 2 of them was treated with laser photocoagulation. The mean time from birth to treatment was  $11.3 \pm 1.5$  (range, 10-13) weeks.

WINROP alarm was signaled in 52 neonates (47.7%) and 100% (7/7) of all infants who developed severe ROP (type 1 and 2). The mean time from birth to alarm was  $1.9 \pm 1.4$  (range, 0-6) weeks and the mean GA for alarm was  $29.9 \pm 1.7$  (range, 27-32) weeks.

The sensitivity of WINROP algorithm to detect severe ROP in our cohort was 100% (CI 95%, 59-100) with a specificity of 55.9% (CI 95%, 45.7-65.7). The positive predictive value (PPV) was 13.5% (CI 95%, 11.1-16.2) and the negative predictive value (NPV) was 100% (CI 95%, 93.7-100) (Table 2). These parameters vary according to gestational age, observing a lower specificity in extremely premature and ELBW infants (Table 3).

Table 2. Sensitivity, specificity and predictive values of the WINROP algorithm

	Alarm	No alarm	Total	Sensitivity, % (95% CI)	Specificity, % (95% CI)
<b>ROP categories, n</b>					
Severe ROP	7	0	7	100 (59-100)	55.9 (45.7-65.7)
No severe ROP/ No ROP	45	57	102		
Total	52	57	109		
<b>Predictive value, % (95% CI)</b>					
PPV	13.5 (11.1-16.2)				
NPV	100 (93.7-100)				

CI: Confidence interval, NPV: Negative predictive value, PPV: Positive predictive value, ROP: Retinopathy of prematurity

Table 3. Diagnostic performance of WINROP algorithm according to GA at birth and BW

	Number of infants	Sensitivity, % (95% CI)	Specificity, % (95% CI)	PPV, % (95% CI)	NPV, % (95% CI)
<b>Gestational age</b>					
EP (< 28 weeks)	20	100 (47.8-100)	19 (5.4-41.9)	22.7 (19.3-26.6)	100 (39.8-100)
VP (28-32 weeks)	89	100 (15.8-100)	64.1 (52.7-74.5)	6.5 (4.9-8.5)	100 (93.2-100)
<b>Birth Weight</b>					
ELBW (< 1000 g)	36	100 (47.2-100)	16.1 (5.4-33.7)	16.1 (14.1-18.3)	100 (47.8-100)
VLBW (1000-1499 g)	55	100 (15.8-100)	62.3 (47.9-75.2)	9.1 (6.6-12.4)	100 (89.4-100)

CI: Confidence interval, NPV: Negative predictive value, PPV: Positive predictive value, EP: Extremely Preterm, VP: Very Preterm, ELBW: Extremely Low Birth Weight, VLBW: Very Low Birth Weight

## DISCUSSION

Predictably, incidence and severity of ROP increased with lower GA at birth and BW. In our cohort, 28.4% and 6.4% of infants developed any grade of ROP and severe form, respectively. Similarly, other studies have reported incidences of ROP ranging from 9.3% to 43.1% and 1.8-11.1% infants developed severe ROP.<sup>16-20</sup> Nevertheless, different screening criteria were used.

In the present study, the sensitivity of WINROP algorithm for detecting infants at risk of severe ROP was 100%. All infants requiring or potentially requiring treatment (Type 1 or Type 2 ROP) were correctly identified. Similar results were observed in cohorts from Sweden, the USA and the Czech Republic (Table 4)<sup>10,21,22,23</sup>. In contrast, studies from other countries have reported worse data and most of them have shown sensitivity values ranging from 80% to 90%.<sup>13(23-31)</sup> Demographic and neonatal management differences can explain these varying values. WINROP algorithm was created from a Swedish cohort of premature infants. Population similarities with the Nordic country could explain the good results observed in our study and in other countries such as Sweden, the USA, Canada or the Czech Republic. The influence of the quality of care for premature neonates on the WINROP effectiveness is supported on the low number of infants with GA at birth >28 weeks who developed severe ROP in the studies with the best algorithm results, including the present one,<sup>10(21-23)</sup> in contrast with the multiple cases observed in countries with poorer data.<sup>21,25,26</sup>

Low specificity and negative predictive value, especially in the groups of extremely preterm infants (GA <28 weeks) and those with extremely low birth (BW <1000 g), were observed in present study. Poor postnatal weight gain is a frequent problem for extremely preterm infants, and, in consequence, relatively high numbers of false positives were detailed in this group when using WINROP. A reassessment of the WINROP alarm have been

proposed to improve the specificity of the algorithm.<sup>32</sup>

According to other studies, most of infants who were incorrectly classified by WINROP as low risk had complicated neonatal courses and/or were born with extreme prematurity (GA <28 weeks) (28)(30)(33). This may be related with the non-inclusion of factors involved in the development of ROP such as oxygen saturation, postnatal comorbidities, or nutritional supplementation, in the WINROP algorithm. Some complications of prematurity, such as necrotizing enterocolitis, intraventricular hemorrhage, sepsis and hydrocephalus could induce excessive weight gain that would interfere with the algorithm's ability to detect infants at risk of severe ROP.<sup>13</sup> By the other hand, WINROP alarm may be designed to be activated for small for gestational age infants and relatively high birth weights are more common in extremely preterm infants.

In our experience, the WINROP algorithm has proven to be a useful tool in predicting treatment-requiring ROP. Even though the specificity and the PPV were relatively low, the 100% sensitivity and 100% NPV support the safety of using WINROP to reduce the number of stressful ophthalmic examinations. Nevertheless, special caution should be taken when using WINROP in extremely preterm infants (GA < 28 weeks). Population-specific optimization and the inclusion of other postnatal factors are required to improve the algorithm results.

## CONCLUSIONS

With 100% sensitivity (CI 95%, 59-100) and 100% NPV (CI 95%, 93.7-100), the WINROP algorithm has proven to be a safe non-invasive tool in identifying premature infants at risk of developing severe ROP. Similar results have been obtained in other developed countries, allowing to reduce the number of unnecessary stressful examinations during ROP screening.

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